SEC Form 4
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#### FORM 4

obligations may continue. See

Instruction 1(b)

Check this box if no longer subject to Section 16. Form 4 or Form 5

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* Sousa Michael			2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>HEALTHSTREAM INC</u> [ HSTM ]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner			
(Last) 500 11TH AVE SUITE 1000	(First) NUE NORTH	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 03/11/2020	X Onice (give the original specify below) Senior Vice President			
(Street) NASHVILLE (City)	TN (State)	37203 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	<ul> <li>6. Individual or Joint/Group Filing (Check Applicable Line)</li> <li>X Form filed by One Reporting Person</li> <li>Form filed by More than One Reporting Person</li> </ul>			
		Table I - Non-De	erivative Securities Acquired. Disposed of. or Bene				

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)			4. Securities Acq Disposed Of (D) ( nstr. 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock								17,418	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of 3. Transaction 3A. Deemed 5. Number 6. Date Exercisable and 7. Title and 8. Price of Derivative 9. Number of 10. 11. Nature Conversion or Exercise Execution Date, if any Transaction Code (Instr. 8) Amount of Securities Underlying Ownership Form: of Indirect Beneficial Derivative Date (Month/Day/Year) Expiration Date (Month/Day/Year) derivative Derivative Security (Instr. 3) Security (Instr. 5) Securities Price of (Month/Dav/Year) Securities Beneficially Direct (D) Ownership Acquired (A) or Disposed Derivative Security Derivative Sec (Instr. 3 and 4) Owned Following or Indirect (I) (Instr. 4) Security (Instr. 4) Reported of (D) (Instr. 3, 4 Transaction(s) (Instr. 4) and 5) Amount or Number Expiration Date Date Exercisable of Shares Code ν (A) (D) Title Restricted Commo \$0.00<sup>(1)</sup> Share (3) 03/11/2020 A 5,729 5,729 \$0.00 5,729 D Stock Units

Explanation of Responses:

1. Each restricted share unit (RSU) represents the contingent right to receive one share of common stock upon vesting of the unit.

2. The RSUs are subject to a four year vesting schedule, contingent upon continued service at the time of vesting. 15% vest on March 11, 2021, 20% vest on March 11, 2022, 30% vest on March 11, 2023, and the remaining 35% vest on March 11, 2024.

#### 3. Not applicable.

**Remarks:** 



\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

## 03/12/2020

Date